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Salah Laghrouche, M. Harmouche, F.S. Ahmed, Yacine Chitour. Control of PEMFC Air-Feed System using Lyapunov-based Robust and Adaptive Higher Order Sliding ModeControl. IEEE Transactions on Control Systems Technology, 2015, 23 (4), pp.1594-1601. 10.1109/tcst.2014.2371826. hal-01271294

# HAL Id: hal-01271294 https://centralesupelec.hal.science/hal-01271294v1

Submitted on 3 Apr 2020  $\,$ 

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## Control of PEMFC Air-Feed System Using Lyapunov-Based Robust and Adaptive Higher Order Sliding Mode Control

Salah Laghrouche, Mohamed Harmouche, Fayez Shakil Ahmed, and Yacine Chitour

Abstract-In this brief, we present Lyapunov-based robust 1 and adaptive higher order sliding mode (HOSM) controllers 2 for the air-feed system of polymer electrolyte membrane fuel 3 cells, which is a nonlinear single-input, single-output system 4 with bounded uncertainty. The system consists of a motorized 5 compressor, which is driven at its optimal point in order to 6 minimize the internal energy consumption of the system. This 7 brief provides an experimental demonstration of the applicabil-8 ity of the recently developed fixed-gain robust controller and 9 adaptive controller for this problem. Third-order controllers 10 are developed in order to obtain a continuous profile for 11 the input current of the compressor motor. In this regard, a 12 complete adaptive arbitrary HOSM control has been presented 13 for the first time, with Lyapunov-based proof. A performance 14 comparison between the two controllers is presented in the 15 end. 16

*Index Terms*—Adaptive control, finite time stabilization,
 higher order sliding mode (HOSM), Lyapunov analysis, polymer
 electrolyte membrane fuel cell (PEMFC), robust control.

## I. INTRODUCTION

20

TUEL cells and their auxiliary systems pose challenging 21 **r** control problems, as they are typically nonlinear and 22 difficult to characterize. They require robust or adaptive 23 control methods, as their physical parameters are uncertain, 24 varying with operating conditions and environmental effects. 25 One important control problem in polymer electrolyte 26 membrane fuel cell (PEMFC) systems is the minimization 27 of the power consumed internally by their air-feed systems, 28 in order to maximize the net power output. In particular, 29 a PEMFC needs a sufficient quantity of excess air (oxygen 30 excess) in its cathode in order to respond to load variations 31 and transitions without damaging itself [1]. On the other 32 hand, it has been established that the power consumption 33 of air-feed compressors is the highest among all auxiliary 34 systems of the fuel cell, rising up to 20% of the total PEMFC 35

Manuscript received January 15, 2014; revised July 7, 2014; accepted October 8, 2014. Manuscript received in final form November 13, 2014. This work was supported by the iCODE Institute through the Research Project of Idex Paris-Saclay. Recommended by Associate Editor A. Zolotas.

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Digital Object Identifier 10.1109/TCST.2014.2371826

power output [1]. Therefore, the air-feed system requires <sup>36</sup> precise control for running the compressor at its optimal <sup>37</sup> operating point, thereby maximizing the net power output <sup>38</sup> of the fuel cell while keeping the oxygen excess ratio high <sup>39</sup> enough for proper operation [2], [3]. <sup>40</sup>

Higher order sliding mode control (HOSMC) [4] is a well-41 established control strategy for uncertain nonlinear systems, 42 as it is insensitive to parametric uncertainty and external 43 disturbance. Unlike classical sliding mode, HOSMC does not 44 suffer from high-frequency chattering because the characteris-45 tic discontinuous control [5] acts upon a higher derivative of 46 the sliding variable. If the bounds of parametric uncertainty 47 in the system are known, then fixed-gain HOSM controllers 48 can be designed with relative ease. However, this is usually 49 difficult in practical cases, as the estimation of uncertainty 50 bounds requires rigorous experimentation in worst case 51 conditions. In these cases, adaptive-gain (or simply adaptive) 52 controllers provide a successful means of controlling the 53 system through dynamically adapting gains. However, 54 these controllers ensure practical convergence only, i.e., to 55 a neighborhood of the origin. Many fixed-gain arbitrary 56 robust HOSMC algorithms exist in contemporary literature, 57 prominent examples being [6]-[9]. Huang et al. [10] were the 58 first to use dynamic gain adaptation in SMC for the problem 59 of unknown uncertainty bound, following [11] and [12]. Other 60 works in this domain include [13]–[16]. These contributions 61 remain limited to first- and second-order sliding mode. Initial 62 findings on Lyapunov-based robust and (partial) adaptive 63 arbitrary HOSMC were recently presented in [17]. 64

Sliding mode controllers have been studied for PEMFC 65 air-feed system control as well in [18]–[20], and two important 66 examples of second-order SMC (SOSMC) are [21] and [22]. 67 In the former, the oxygen excess ratio is assumed to have 68 a static relationship with the compressor flow rate, and the 69 compressor is controlled using SOSMC. In the latter, the 70 authors have proposed a dual loop Cascade SOSMC controller, 71 which address the compressor speed reference and current 72 control individually. This approach is more practical as both 73 AQ:1 the control loops are robust; however, its implementation 74 requires different loop rates for controllers. Approaching this 75 problem by third-order-sliding-mode-based oxygen excess 76 ratio controllers appears to be a better approach in comparison 77 with both these methods, as third-order extension results in 78 continuous current control of the motocompressor. Adaptive 79 third-order controllers would provide further ease in control 80 design as precise parameters of the otherwise complex system 81 need not be known. 82

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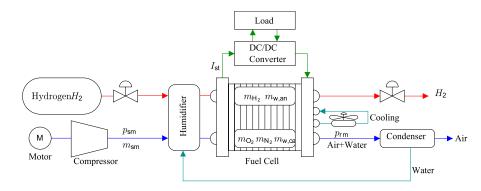


Fig. 1. Fuel cell air-feed system.

In this brief, the robust and adaptive approaches of [17] are 83 extended and applied to the HOSM control of the PEMFC 84 air-feed systems. The objective is to operate the air-feed 85 compressor at its optimal point with respect to the load current, 86 thereby maintaining the required oxygen excess ratio while 87 keeping the power consumption low. There are two major 88 contributions in this brief. First, it is shown that if the bounds 89 of parametric uncertainty are known, then the air-feed control 90 problem can be practically solved with the third-order robust 91 HOSMC controller of [17]. The second main contribution in 92 this brief is the extension of the partial adaptive controller 93 presented in [17] to develop, for the first time, a complete 94 adaptive arbitrary order sliding mode controller. The 95 adaptation dynamics use a saturation function that results in 96 rapid increase as well as rapid decrease of gains when the 97 sliding variable and its derivatives are, respectively, outside 98 and inside of a defined neighborhood of zero. The advantages 99 of this adaptive controller design are its arbitrary order and 100 its fast adaptation rates in both directions. The Lyapunov 101 function defines sufficient conditions for controller parameters 102 in order to ensure convergence to a defined neighborhood. 103 104 The practical applicability of both the robust and adaptive controllers is demonstrated on a hardware-in-loop (HIL) air-105 feed test bench, using a real-time physical PEMFC emulator. 106 A comparative analysis of the performances of the robust and 107 adaptive controller is also presented. 108

This brief is organized as follows. The PEMFC air-feed system is described in Section II. HOSM problem formulation and
robust and adaptive control design are discussed in Section III.
Their implementation and experimental results are shown in
Section IV. Finally, the conclusions are drawn in Section V.

114

## II. PEMFC AIR-FEED SYSTEM

The PEMFC air-feed system (Fig. 1) feeds the fuel cell 115 cathode with air (as the source of oxygen). It consists of a 116 motocompressor and a manifold, in which a certain quantity 117 of air (oxygen excess) is maintained such that the reaction 118 between hydrogen and oxygen is continuous, without any 119 interruption. Maintaining sufficient oxygen excess is critical 120 for the PEMFC, as insufficient air leads to oxygen starvation 121 during load transitions, where a sudden high load imposes a 122 sudden increase in the rate of reaction. The dynamic model of 123

a fuel cell air-feed system is as follows [22]:

$$\dot{x}_1 = c_1(x_4 - x_1 - x_2 - c_2)$$
<sup>125</sup>

124

133

146

$$-\frac{c_{4}c_{1}}{c_{4}x_{1}+c_{5}x_{2}+c_{6}}c_{17}\sqrt{x_{1}+x_{2}+c_{2}-c_{11}-c_{7}\zeta}$$

$$x_2 = c_8(x_4 - x_1 - x_2 - c_2)$$

$$c_3 x_2 \qquad c_4 - x_1 - x_2 - c_2$$

$$c_3 x_2 \qquad c_4 - x_1 - x_2 - c_2$$

$$-\frac{-\frac{1}{c_4x_1 + c_5x_2 + c_6}c_{17}\sqrt{x_1 + x_2 + c_2 - c_{11}}}{\left[\left(x_4\right)^{c_{12}}\right]}$$

$$\dot{x}_{3} = -c_{9}x_{3} - c_{10}\left[\left(\frac{1}{c_{11}}\right)^{-1}\right] + c_{13}u$$

$$\dot{x}_{4} = c_{14}\left[1 + c_{15}\left[\left(\frac{x_{4}}{c_{11}}\right)^{c_{12}} - 1\right]\right]$$
125

$$[W_{\rm cp} - c_{16}(x_4 - x_1 - x_2 - c_2)]$$
(1) 131

$$u = I_q, \quad \zeta = I_{\rm st}, \quad W_{\rm cp} = c_{21}\omega_{\rm cp}.$$
 (2) 13

The physical quantities that form the state vector x are

$$\alpha = [x_1 \ x_2 \ x_3 \ x_4]^T = [p_{O_2} \ p_{N_2} \ \omega_{cp} \ p_{sm}]^T$$
<sup>134</sup>

where  $p_{O_2}$  and  $p_{N_2}$  represent the oxygen partial pressure and 135 the nitrogen partial pressure, respectively. The compressor 136 speed is denoted by  $\omega_{cp}$  and the supply manifold pressure 137 is denoted by  $p_{\rm sm}$ . The control input *u* is the motor current, 138 whereas the fuel cell stack current  $\zeta$  is considered as measur-139 able input disturbance. The compressor airflow is denoted by 140  $W_{\rm cp}$  and it is proportional to the compressor speed. The para-141 meters  $c_i$  are considered as uncertain constants, decomposed 142 as  $c_i = c_{0i} + \delta c_i$ , where  $c_{0i}$  and  $\delta c_i$  are the nominal value 143 and the uncertainty of  $c_i$ , respectively. Complete details and 144 physical significance of these parameters can be found in [22]. 145

## A. Control Objective

The control problem in the PEMFC system is to ensure a certain excess amount of air in the cathode while minimizing the energy consumed by the air-feed compressor. The oxygen excess ratio can be written as [22] 150

$$\lambda_{O_2} = \frac{c_{19}}{c_{20\zeta}} \left( x_4 - x_1 - x_2 - c_2 \right). \tag{3}$$

The net electrical power is optimized by reducing the 152 consumption of the compressor, i.e., maintaining the oxygen excess ratio  $\lambda_{O_2}$  at its reference optimal value  $\lambda_{O_2,ref}$ , which 154 is determined as a function of the stack current  $\zeta$  [22] 155

$$\lambda_{O_2,ref} = 5 \times 10^{-8} \zeta^3 - 2.87 \times 10^{-5} \zeta^2 + 2.23$$

$$\times 10^{-3} \zeta + 2.5.$$
(4) 157

Our objective is to force  $\lambda_{O_2}$  to follow  $\lambda_{O_2,ref}$  in finite 158 time. 159

#### **III. HIGHER ORDER SLIDING MODE CONTROLLERS** 160

In this section, we will first recall the preliminary formu-161 lation of the HOSM control problem and the robust HOSM 162 controller of [17]. Then, based on the initial findings of [17], 163 the complete adaptive HOSM controller will be presented. Let 164 us consider an uncertain nonlinear system 165

166 
$$\begin{cases} \dot{x}(t) = f(x,t) + g(x,t)u\\ y(t) = s(x,t) \end{cases}$$
(5)

where  $x \in \mathbb{R}^n$  is the state vector and  $u \in \mathbb{R}$  is the control 167 input. The sliding variable s is a measured smooth output-168 feedback function and f(x, t) and g(x, t) are uncertain smooth 169 functions. It is assumed that the relative degree r of the 170 system is globally well defined, uniform, and time-invariant, 171 and the associated zero dynamics are asymptotically stable [9]. 172 Then, for suitable functions  $\tilde{\varphi}(x,t)$  and  $\tilde{\gamma}(x,t)$ , (5) can be 173 rewritten as 174

175 
$$y^{(r)}(t) = \tilde{\varphi}(x(t), t) + \tilde{\gamma}(x(t), t)u(t).$$
 (6)

The functions  $\tilde{\gamma}(x(t), t)$  and  $\tilde{\varphi}(x(t), t)$  are assumed to be 176 bounded by positive constants  $\gamma_m$ ,  $\gamma_M$ , and  $\bar{\varphi}$ , such that 177

$$0 < \gamma_m \le \tilde{\gamma}(x(t), t) \le \gamma_M, \quad |\tilde{\varphi}(x(t), t)| \le \bar{\varphi}.$$
(7)

Defining  $s^{(i)} := d^i/dt^i y$ , the goal of *r*th order SMC is to 179 arrive at, and keep the following manifold in finite time: 180

$$s^{(0)}(x,t) = s^{(1)}(x,t) = \dots = s^{(r-1)}(x,t) = 0.$$
(8)

To be more precise, for  $z = [z_1 \ z_2 \dots z_r]^T := [s \ \dot{s} \dots \ s^{(r-1)}]^T$ , 182 (8) is equivalent to z = 0. It is natural to replace (5) with a 183 more general control system based on (7)184

185 
$$\dot{z}_i = z_{i+1}, \quad i = 1, \dots, r-1$$
  
186  $\dot{z}_r = \varphi(t) + \gamma(t)u \in I_{\varphi} + uI_{\gamma}$  (9)

where the new functions  $\varphi$  and  $\gamma$  are arbitrary measurable 187 functions, bounded such that 188

$$\varphi(t) \in [-\bar{\varphi}, \bar{\varphi}], \quad \gamma(t) \in [\gamma_m, \gamma_M]$$
(10)

where  $\bar{\varphi}, \gamma_m$ , and  $\gamma_M$  are positive constants. This system 190 represents a perturbed integrator chain. The objective of this 191 brief is to design controllers that stabilize (9) to the origin, 192 ideally in finite time. Since these controllers are to be discon-193 tinuous feedback laws u = U(z), solutions of (9) need to be 194 understood here in Filippov sense [23]. 195

#### A. Robust Higher Order Sliding Mode Controller 196

Let us first recall the robust controller [17], which is 197 designed to (9), assuming that the bounds  $\bar{\varphi}$ ,  $\gamma_m$ , and 198  $\gamma_M$  are known. This controller has been derived from a 199 class of Lyapunov-based controllers that guarantee finite-200 time stabilization of pure chain of integrators ( $\varphi \equiv 0$ 201 and  $\gamma \equiv 1$  [24], and satisfy certain additional geometric 202 conditions. Let us consider that the initial states of the system 203 are in a neighborhood of origin,  $\hat{U} \subset \mathbb{R}^r$ . Then, the main 204 result of [17] is given as follows. 205

*Theorem 1:* If there exists a controller  $u_0(z)$  that stabilizes 206 a pure integrator chain in finite time and there exists a  $C^1$ 207 function  $V_1$  defined on the neighborhood  $U \subset \mathbb{R}^r$ , such that: 208

1) 
$$\dot{V}_1 + cV_1^{\alpha}(z(t)) \leq 0$$
, if  $z(t) \in \hat{U}$ ;  
2)  $\frac{\partial V_1}{\partial z} u_0 \leq 0$ ;  
210

3) 
$$u_0 = 0 \Rightarrow \frac{\partial V_1}{\partial z_r} = 0.$$

Then, the following control law establishes HOSM on (9) with 212 respect to s:

$$u = \frac{1}{\gamma_m} \left( u_0 + \bar{\varphi} \operatorname{sign}(u_0) \right).$$
 (11) 214

The detailed proof of this theorem can be found in [17]. 215 It can be verified that many controllers, such as those 216 of [25] and [26], fulfill the conditions demanded in Theorem 2. 217 For the rest of this brief, we consider Hong's controller [25], 218 which is defined as follows. 219

Let k < 0 and  $l_1, \ldots, l_r$  positive real numbers and  $\lfloor a \rfloor^{\theta} :=$ 220  $|a|^{\theta} \operatorname{sign}(a), \ \forall a \in \mathbb{R}, \theta > 0.$  For  $z = (z_1, \ldots, z_r)$ , we define 221 for i = 0, ..., r - 1222

$$p_{i} = 1 + (i-1)k$$

$$p_{i} = 0 \quad y_{i+1} = -l_{i+1} ||_{z_{i+1}} ||_{\beta_{i}} - ||_{y_{i}} ||_{\beta_{i}} ||_{(\alpha_{i+1}/(\beta_{i}))}$$
(12)

$$v_0 = 0, \quad v_{i+1} = -l_{i+1} \lfloor |z_{i+1}|^{p_i} - |v_i|^{p_i} |^{(\alpha_{i+1}/p_i)}$$
(12) 224  
re  $a_i = p_{i+1}/p_i$ , for  $i = 1, ..., r$ , and, for  $k < 0$  suffi-

whe ciently small, we have  $\beta_0 = p_2$ ,  $(\beta_i + 1)p_{i+1} = \beta_0 + 1 > 0$ , 226  $i=1,\ldots,r-1.$ 227

## B. Adaptive Controller

Let us now consider the case where uncertainty bounds 229  $\gamma_m$ ,  $\gamma_M$ , and  $\bar{\varphi}$  of (9) are unknown. In [17], this problem 230 was partially solved and a controller was developed which 231 could function without any explicit knowledge of  $\bar{\varphi}$ . We now 232 present a complete arbitrary HOSM controller that can be 233 designed without the knowledge of bounds of either uncertain 234 function. Let us first define  $\sigma(a)$  as the standard saturation 235 function,  $\sigma(a) = (a/\max(1, |a|)), a \in \mathbb{R}$ . For  $\varepsilon > 0, a \in \mathbb{R}$ , 236 237 we define

$$\nu_{\varepsilon}(a) = \frac{1}{2} + \frac{1}{2}\sigma\left(\frac{|a| - \frac{3}{4}\varepsilon}{\frac{1}{4}\varepsilon}\right).$$
<sup>238</sup>

We now propose the following controller:

$$u = \hat{\gamma} u_0(z) + \hat{\varphi} \operatorname{sign}(u_0(z))$$
 (13) 240

where  $u_0$  is a homogeneous controller, as defined in Theorem 1. The adaptive function  $\hat{\gamma} = \kappa + \delta |u_0(z)|$ and  $\hat{\varphi}(t)$  is defined by the ordinary differential equation

$$\hat{\varphi}(t) = k \nu_{\varepsilon}(V_1(z)) - (1 - \nu_{\varepsilon}(V_1(z))) \left| \hat{\varphi} \right|^{\eta}$$

with the initial condition  $\hat{\varphi}(0) = 0$ . The new terms are defined 241 as  $\kappa$ ,  $\delta > 0$ ,  $\eta \in (0, 1)$ , k > 0, and  $V_1$  is a homogeneous 242 Lyapunov function which also satisfies Theorem 1. The 243 following theorem provides the main result for the adaptive 244 controller. 245

Theorem 2: Consider (9) under the feedback control 246 law (13). Then,  $\forall \varepsilon$ ,  $\exists c' > 0$  and  $0 < \alpha' < 1$  such that 247 the following conditions are satisfied for any initial condition 248  $z_0 \in \hat{U}$ . 249

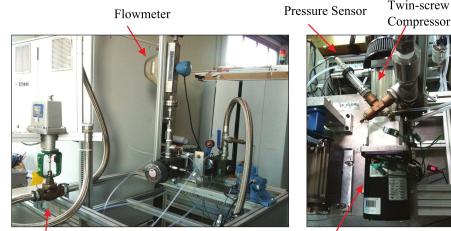
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Control Valve

Fig. 2. Test bench.

$$\begin{array}{ll} 3 & _{250} & 1 \end{pmatrix} \liminf_{t \to \infty} V_1(z(t)) \leq \varepsilon, \quad \limsup_{t \to \infty} V_1(z(t)) \leq \Delta. \\ 251 & 2 \end{pmatrix} \limsup_{t \to \infty} |\hat{\varphi}| < 2\bar{\Phi} + k(\Delta^{1-\alpha}/(c(1-\alpha))) \end{array}$$

$$t \to \infty$$

252 where

25

25

AQ

$$\bar{\Phi} := \frac{1}{\gamma_m} \left( \bar{\varphi} + \frac{(\kappa \gamma_m - 1)^2}{4\gamma_m \delta} \right)$$
$$\Delta := \left( \varepsilon^{1-\alpha'} + \frac{c'(1-\alpha')\gamma_m}{2k} \bar{\Phi}^2 \right)^{\mathrm{T}}$$

Proof of Theorem 2: We first demonstrate that the controller brings the system states from any domain  $V_1 > \varepsilon$  to the domain  $V_1 \le \varepsilon$  in finite time. Then, it is proved that once z reaches the domain  $V_1 \le \varepsilon$ , it stays in the domain  $V_1 \le \Delta$ for all consecutive time instances and  $\hat{\varphi}$  is upper bounded after a sufficiently large time.

Lemma 1 [17]: The function  $\hat{\varphi}$  is non-negative and is defined as long as the trajectory of z is defined.

Now, let us use contradiction again, to show lim  $\inf_{t\to\infty} V_1(z(t)) \leq \varepsilon$ . Supposing that there exists  $\bar{t}$ such that  $V_1(t) > \varepsilon$  for every  $t \geq \bar{t}$ , then according to the dynamics of  $\hat{\varphi}$ , we get  $\hat{\varphi} = k$  for  $t \geq \bar{t}$ . This implies that for  $t \geq \bar{t}$ ,  $\hat{\varphi}$  is increasing and  $\hat{\varphi} > \bar{\Phi}$ . The derivative of the Lyapunov function is

$$\dot{V}_{1} = \frac{\partial V_{1}}{\partial z_{1}} z_{2} + \dots + \frac{\partial V_{1}}{\partial z_{r}} (\gamma [\hat{\gamma} u_{0} + \hat{\varphi} \text{sign}(u_{0})] + \varphi)$$

$$= \frac{\partial V_{1}}{\partial z_{1}} z_{2} + \dots + \frac{\partial V_{1}}{\partial z_{r}} u_{0} + \frac{\partial V_{1}}{\partial z_{r}}$$

$$= \frac{\partial (1 - \psi_{0})}{\partial z_{1}} z_{2} + \dots + \frac{\partial (1 - \psi_{0})}{\partial z_{r}} + \frac{\partial (1 - \psi_{0})}{\partial z$$

$$\times \left( -u_0 + \kappa \gamma u_0 + \gamma \delta \lfloor u_0 \rfloor^2 + \gamma \hat{\varphi} \operatorname{sign}(u_0) + \varphi \right)$$

$$272 \qquad \leq -cV_1^{\alpha} - \left|\frac{\partial V_1}{\partial z_r}\right| \left((\kappa \gamma_m - 1)|u_0| + \gamma_m \delta |u_0|^2 + \gamma_m \hat{\varphi} - \bar{\varphi}\right) 273 \qquad \leq -cV_1^{\alpha} - \gamma_m \left|\frac{\partial V_1}{\partial z_r}\right| \left(\hat{\varphi} - \bar{\Phi}\right) \leq -cV_1^{\alpha}$$
(14)

then,  $V_1(z)$  converges to zero in finite time, which contradicts the hypothesis. The functions  $u_0$  and  $V_1$  are homogeneous, which according to [27], means that  $\exists c', \alpha' > 0 : |\partial V_1 / \partial z_r| \le c' V_1^{\alpha'}$ , where  $c' = \max_{\{z: V_1(z)=1\}} |\partial V_1 / \partial z_r|$ ,  $\alpha' = \kappa_2 / \kappa_1$ . The terms  $\kappa_2$  and  $\kappa_1$  are the respective degrees of homogeneity of  $\partial V_1 / \partial z_r$  and  $V_1$ . We suppose now that  $V_1 < \varepsilon$ . Let us



estimate the overshoot in the worst case condition with respect to uncertainty. For  $V_1(z(0)) = \varepsilon$  and  $\hat{\varphi}(0) = 0$ , we get 281

$$\dot{V}_{1} \leq -cV_{1}^{a} - \gamma_{m}c'V_{1}^{a'}\left(\hat{\phi} - \bar{\Phi}\right), \quad \dot{\hat{\phi}} = k.$$
 (15) 282

The overshoot  $\Delta$  of  $V_1$  holds for  $\dot{V}_1 = 0$  at  $t = T_M$ . We get  $\hat{\varphi}(T_M) = \bar{\Phi} - c \Delta^{\alpha - \alpha'} / c' \gamma_m \leq \bar{\Phi}$ , and then  $T_M \leq \bar{\Phi} / k$ . An upper bound of  $\Delta$  can be estimated as

$$\Delta = \left(\varepsilon^{1-\alpha'} + \frac{c'(1-\alpha')\gamma_m}{2k}\bar{\Phi}^2\right)^{\frac{1}{1-\alpha'}}.$$
286

For an upper bound of  $\limsup_{t\to\infty} \hat{\varphi}$ , consider the case 287  $V_1(z(0)) = \varepsilon$  with  $\dot{V}_1(z(0)) \ge 0$ , in this case we have 288  $\hat{\varphi}(0) < \bar{\Phi}$ . For  $t = T_M$ , i.e.,  $\dot{V}_1 = 0$ , we get  $\hat{\varphi}(T_M) \leq \hat{\varphi}(T_M)$ 289  $\overline{\Phi} + \hat{\varphi}(0) \leq 2\overline{\Phi}$ .  $\hat{\varphi}$  will increase until time  $T_f$  where 290  $\hat{\varphi}(T_f) = 0$  and  $V_1(z(T_f)) \ge 0$ . The worst case is calculated 291 with respect to the boundary of  $\hat{\varphi}$ , using  $V_1 \leq -cV_1^{\alpha}$ 292 and  $\hat{\phi} = k$ . Here,  $T_f$  corresponds to  $V_1(z(T_f)) = 0$ , 293 i.e.,  $T_f T_m = (\Delta^{(1-\alpha)}) / (c(1-\alpha))$ , which implies that 294  $\hat{\varphi}(T_f) \le \hat{\varphi}(T_M) + k(T_f T_M) = 2\bar{\Phi} + k\Delta^{(1-\alpha)}/c(1-\alpha).$ 295

*Remark 1:* The second inequality of 1) of Theorem 2 is equivalent to Levant's concept of real HOSM [28], defined as

$$\exists t_1 > 0 : \forall t > t_1, |z_i(t)| \le \mu_i, \quad i = 1, \dots, r-1$$
 29

where  $\mu_i$  is an arbitrarily small positive number. This is 299 equivalent to practical stability of  $z_1, \ldots, z_r$ . 300

## IV. DESIGN AND IMPLEMENTATION

Let us now turn toward design of the robust and adaptive 302 controllers presented in Section III, for PEMFC air-feed 303 system application. As mentioned previously, third-order 304 controllers will be designed in order to obtain a smooth 305 current profile. The test bench is described first in order to 306 present a physical outlook of the system under consideration. 307 Then, the designed controllers and experimental results are 308 presented. 309

## A. Test Bench Description

The experiments have been conducted on a HIL test bench, presented in Figs. 2 and 3. This bench consists of a twinscrew compressor air-feed system coupled with a real time

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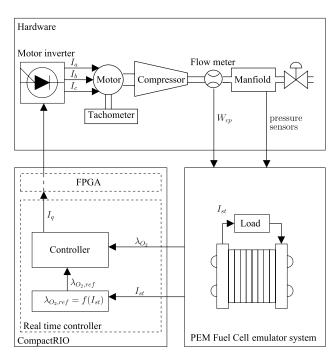


Fig. 3. HIL simulator.

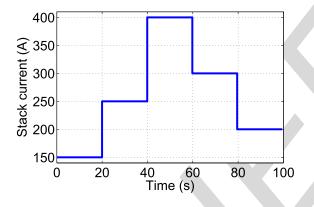


Fig. 4. Stack current (A).

33-kW fuel cell emulator. The twin-screw compressor is driven 314 by a permanent magnet synchronous motor (PMSM). The 315 three-phase currents of PMSM are calculated from dq coor-316 dinates and supplied by an inverter. The control input Iq is 317 generated by the proposed controllers installed in a real-time 318 controller and fed to the inverter. The measured compressor 319 airflow  $W_{cp}$  is fed to the real-time fuel cell emulation system. 320 The PEMFC emulator receives the flow rate  $W_{cp}$ , in order 321 to generate the states  $x_1$ ,  $x_2$ ,  $x_4$ , and  $\lambda_{O_2}$  (see Section II 322 for physical description of the states). Its physical parameters 323 can be varied to emulate the effect of external operating 324 conditions on the fuel cell. The output load is simulated as 325 variable stack current steps between 150 and 400 A, in steps. 326 The load profile used in the tests for this brief is shown 327 in Fig. 4. 328

## 329 B. Controller Design and Experimental Results

Let us consider (1) and the equations of  $\lambda_{O_2}$  and  $\lambda_{O_2,ref}$ , i.e., (3) and (4). The system parameters are given in [22]. The sliding variable is defined as  $z_1 = s = \lambda_{O_2} - \lambda_{O_2,ref}$ . In our case, the sliding variable *s* depends on  $x_1$ ,  $x_2$ , and  $x_4$ . <sup>333</sup> The first and second time derivative of *s* are <sup>334</sup>

$$\dot{s} = z_2 = \frac{\partial}{\partial x_1} s(x_1, x_2, x_4) . \dot{x}_1(x_1, x_2, x_4)$$
 335

$$+\frac{\partial}{\partial x_2}s(x_1, x_2, x_4).\dot{x}_2(x_1, x_2, x_4)$$
336

$$+\frac{\partial}{\partial x_4}s(x_1, x_2, x_4).\dot{x}_4(x_1, x_2, x_3, x_4)$$
337

$$\ddot{s} = z_3 = \frac{\partial}{\partial x_1} \dot{s}(x_1, x_2, x_3, x_4) . \dot{x}_1(x_1, x_2, x_4)$$
338

$$+\frac{\partial}{\partial x_2}\dot{s}(x_1, x_2, x_4).\dot{x}_2(x_1, x_2, x_4)$$
333

$$+\frac{\partial}{\partial x_{3}}\dot{s}(x_{1},x_{2},x_{3},x_{4}).\dot{x}_{3}(x_{3},x_{4},u)$$

$$+\frac{\partial}{\partial x_4}\dot{s}(x_1,x_2,x_3,x_4).\dot{x}_4(x_1,x_2,x_3,x_4).$$

The control input u appears for the first time in the second time derivative of s. To obtain a continuous control u, the discontinuous control is applied on the higher derivative  $\dot{u}$ . We get 345

where  $v = \dot{u}$ ,  $\Phi$ , and  $\gamma$  are uncertain bounded functions that satisfy 348

$$\Phi \in [-\bar{\varphi}, \bar{\varphi}], \quad \gamma \in [\gamma_m, \gamma_M]. \tag{16} \quad {}_{349}$$

For the PEMFC under consideration, the bounding values of the parameters were determined as percentage deviations through precise physical analyses. The numerical values of the uncertainty limits were obtained as  $\bar{\varphi} = 0.03$ ,  $\gamma_m = 5$ , and  $\gamma_M = 15$ .

From here, the control objective becomes equivalent to forcing *s*, and its first and second time derivatives to zero in finite time, through  $s^{(3)} \in [-\bar{\varphi}, \bar{\varphi}] + [\gamma_m, \gamma_M]v$ . We first develop a third-order SMC robust controller using (11) and (12). According to Theorem 1, the controller takes the following structure:

$$v_1 = -l_1 \lfloor s \rceil^{\alpha_1}$$

$$v_2 = -l_2 \lfloor \lfloor \dot{s} \rceil^{\beta_1} - \lfloor v_1 \rceil^{\beta_1} \rceil^{\alpha_2/\beta_1}$$

$$_{3} = -l_{3} \lfloor [\ddot{s}]^{\beta_{2}} - \lfloor v_{2} \rceil^{\beta_{2}} \rceil^{\alpha_{3}/\beta_{2}}$$

$$v = \dot{u} = \frac{1}{\gamma_m} (v_3 + \bar{\varphi} \operatorname{sign}(v_3)).$$
 (17) 36

In this test, the parameters have been tuned to the following values:  $l_1 = 5$ ,  $l_2 = 10$ ,  $l_3 = 40$ ,  $\beta_0 = 0.8$ ,  $\beta_1 = 1.25$ ,  $\beta_2 = 2$ ,  $\alpha_1 = 4/5$ ,  $\alpha_2 = 3/4$ ,  $\alpha_3 = 2/3$ ,  $\gamma_m = 5$ ,  $\bar{\varphi} = 0.03$ .

The load variations (Fig. 4) result in changes in  $\lambda_{O_2,ref}$ , 368 according to (4). The performance of the robust controller 369 with respect to these changes is shown in Fig. 5. It can 370 be seen in Fig. 5(a) that  $\lambda_{O_2}$  tracks  $\lambda_{O_2,ref}$  successfully 371 with a response time between 3 and 7 s practically. 372 The control input  $(I_q)$  is shown in Fig. 5(b), it varies 373 between 0 and 3 A. As the controller establishes third-order 374 HOSM, the oscillations in  $I_q$  are negligible and it has a smooth 375 profile. 376

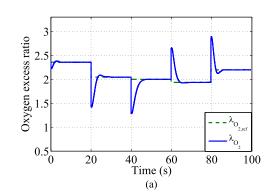
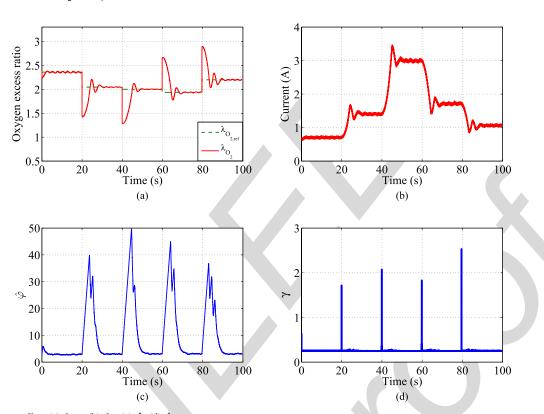


Fig. 5. Robust controller. (a)  $\lambda_{O_2}$ . (b)  $I_q$ .



Current (A)

2

0' 0

20

40 60 Time (s)

(b)

60

80

100

Fig. 6. Adaptive controller. (a)  $\lambda_{O_2}$ . (b)  $I_q$ . (c)  $\hat{\varphi}$ . (d)  $\hat{\gamma}$ .

з

We will now demonstrate the proposed adaptive controller 377 for the same problem, assuming that we have no knowledge 378 of the uncertainty bounds. The third-order SMC adaptive 379 controller is designed using (12) and (13). According 380 to Theorem 3, the controller has the following structure: 381

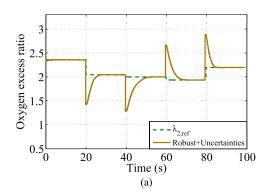
$$v = \dot{u} = \hat{\gamma} v_3 + \hat{\phi} \operatorname{sign}(v_3)$$
 (18)

where  $v_3$  is the same as in (17). The controller 383 parameters used in adaptive case are as follows:  $l_1 = 5$ , 384  $l_2 = 10, \ l_3 = 40, \ \beta_0 = 0.8, \ \beta_1 = 1.25, \ \beta_2 = 2, \ \alpha_1 = 4/5, \ \alpha_2 = 3/4, \ \alpha_3 = 2/3, \ k = 5, \ \eta = 0.95,$ 385 386  $\varepsilon = 0.001, \kappa = 0.25, \delta = 0.001.$ 387

The results of the adaptive controller are shown in Fig. 6. 388 Fig. 6(a) shows that  $\lambda_{O_2}$  converges and remains inside a small 389 and acceptable neighborhood around the desired value  $\lambda_{O_2,ref}$ . 390 The control input,  $I_q$  is shown in Fig. 6(b) and the behaviors of 391 the adaptive parameters  $\hat{\varphi}$  and  $\hat{\gamma}$  are shown in Fig. 6(c) and (d), 392

respectively. It can be seen that  $\hat{\varphi}$  increases at each stack 393 current step, and then decreases rapidly after the convergence 394 of the tracking error. As ideal sliding mode cannot be achieved 395 in this case, small oscillations can be seen in  $\hat{\gamma}$ . In general, 396 these results show the effectiveness of both the robust and 397 adaptive controllers for a wide range of stack current variation, 398 i.e., external perturbation. 399

To demonstrate the robustness of our controllers in dealing 400 with parametric uncertainty, another series of experiments was 401 conducted, in which the parameters of the PEMFC emulator 402 were varied to their extreme values [22]. The designed 403 controllers were again tested with the same controller 404 parameters as determined before. The results of the robust 405 controller in these tests are shown in Fig. 7. The system 406 response in Fig. 7(a) and the control input in Fig. 7(b) 407 show that this controller performs as well as in the previous 408 tests with defined system parameter values. The results of 409



3

2

0<sup>1</sup> 0

4

3

0L 0

3

2

0<sup>L</sup> 0 20

20

40

40

Time (s)

(d)

60

Time (s)

(b)

60

80

known system

uncertainties

80

100

432

436

437

438

439

440

441

442

443

444

445

446

100

Current (A)

20

40

60

Time (s) (b) 80

Current (A)

Fig. 7. Robust controller (parametric shift). (a)  $\lambda_{O_2}$ . (b)  $I_q$ .

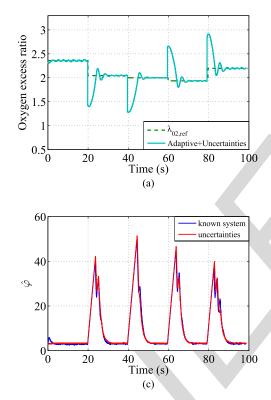


Fig. 8. Adaptive controller (parametric shift). (a)  $\lambda_{O_2}$ . (b)  $I_q$ . (c)  $\hat{\varphi}$ . (d)  $\hat{\gamma}$ .

the adaptive controller are shown in Fig. 8. We see again 410 in Fig. 8(a) a similar behavior of tracking error as compared 411 with the previous tests. However, the static value of the 412 quadratic current [Fig. 8(b)] changes in order to accommodate 413 the emulated parametric drift. The adaptive gains  $\hat{\varphi}$  and  $\hat{\gamma}$ 414 adapt to counteract the uncertainty, ensuring convergence, 415 as seen in Fig. 8(c) and (d). These tests demonstrate that both 416 the robust and adaptive controllers are capable of handling 417 parametric uncertainty, albeit with different mechanisms. 418

## V. CONCLUSION

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In this brief, the control problem of PEMFC air-feed system was addressed using two arbitrary HOSM controllers associated with the finite-time stabilization of a perturbed chain of integrators with bounded uncertainty. The design of the first controller requires the knowledge of the uncertainty bounds. The second controller is adaptive and its design does not require any quantitative knowledge of the uncertainty bounds. The arbitrary order nature of controllers permitted to extend<br/>the air-feed system from second to third order, resulting in<br/>continuous input current profile. The proposed controllers<br/>showed good performance in simulation and in experiments<br/>conducted on a PEMFC air-feed test bench.427<br/>428

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