



# IEEE Access Special Section Editorial: Index Modulation Techniques for Next-Generation Wireless Networks

Ertugrul Basar, Miaowen Wen, Raed Mesleh, Marco Di Renzo, Yue Xiao,  
Harald Haas

## ► To cite this version:

Ertugrul Basar, Miaowen Wen, Raed Mesleh, Marco Di Renzo, Yue Xiao, et al.. IEEE Access Special Section Editorial: Index Modulation Techniques for Next-Generation Wireless Networks. IEEE Access, 2018, 6, pp.26452 - 26456. 10.1109/ACCESS.2018.2833265 . hal-02376143

**HAL Id: hal-02376143**

**<https://centralesupelec.hal.science/hal-02376143>**

Submitted on 22 Nov 2019

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Date of current version June 5, 2018.

Digital Object Identifier 10.1109/ACCESS.2018.2833265

## EDITORIAL

# IEEE ACCESS SPECIAL SECTION EDITORIAL: INDEX MODULATION TECHNIQUES FOR NEXT-GENERATION WIRELESS NETWORKS

### I. INTRODUCTION

Index modulation (IM) techniques appear as competitive candidates for next-generation (5G and beyond) wireless networks due to the attractive advantages they offer in terms of spectral and energy efficiency as well as hardware simplicity. IM is a highly spectrum- and energy-efficient yet simple digital modulation technique, which utilizes the indices of the building blocks of the corresponding communication systems to convey additional information bits. IM systems provide alternative ways to transmit information in contrast to traditional digital modulation schemes that rely on the modulation of the amplitude/phase/frequency of a sinusoidal carrier signal for transmission, as widely considered in the field of communications over the past 50 years. Radically, IM schemes have the ability to map information bits by altering the on/off status of their transmission entities such as transmit antennas, subcarriers, radio frequency (RF) mirrors, transmit light emitting diodes, relays, modulation types, time slots, precoder matrices, dispersion matrices, spreading codes, signal powers, loads and so on. In other words, IM creates completely new dimensions for data transmission. Since the initial skepticism of both academia and industry on the potential and applicability of IM technologies has now gone away, we strongly believe that IM is not another simple digital modulation alternative, but rather can be a game-changing communication paradigm whose time has come!

There has been a tremendous interest in IM schemes over the past few years. Therefore, we decided to organize this IEEE ACCESS Special Section, which has been the first in the literature in this frontier, at the end of 2016. The aim of this IEEE ACCESS Special Section has been to provide a forum for the latest research and advances in the field of emerging IM techniques. With this purpose, we have accepted 23 high-quality articles from leading research groups worldwide during the period of June 2017-January 2018.

IEEE ACCESS's multidisciplinary, application-oriented, and all-electronic concept has provided a great opportunity for the dissemination of the most recent and interesting results in the field of IM technologies. Because of its open access nature, this Special Section is freely accessible to all readers around the world.

The 23 articles published in this Special Section can be categorized under four major groups. We have received i) 3 tutorial type articles (one of them has been submitted by our Guest Editorial Team itself upon the invitation of the Editor-in-Chief of IEEE ACCESS), ii) 10 articles covering the most recent advances in the field of orthogonal frequency division multiplexing with index modulation (OFDM-IM), iii) 6 articles on the recent developments in the field of spatial modulation (SM) techniques, and iv) 4 articles in other relevant areas in which IM technologies were applied.

In the opening article of this Special Section (Index Modulation Techniques for Next-Generation Wireless Networks), which carries the same title as our Special Section, we, the Guest Editors, have provided a comprehensive overview of the most recent developments in the field of IM technologies and focused on the three popular forms of IM: SM, channel modulation (media based modulation) and OFDM that consider the transmit antennas of a multiple-input multiple-output (MIMO) system, the RF mirrors (parasitic elements) mounted at a transmit antenna, and the subcarriers of an OFDM system for IM techniques, respectively. We strongly believe that this 54-page masterpiece will be a classic reference for the researchers working in this frontier for many years to come. In the tutorial article of Sugiura *et al.* (State-of-the-Art Design of Index Modulation in the Space, Time, and Frequency Domains: Benefits and Fundamental Limitations), the authors presented a comprehensive review of IM architectures that operate in the space, time, and frequency domains and clarified the advantages of IM-based systems over the conventional schemes in wireless standards, such as spatial multiplexing, OFDM, and single-carrier frequency division multiple access. Our Special Section closes with the tutorial article of Hemadeh *et al.* (Hierarchical Multi-Functional Layered Spatial Modulation), which presents the novel multi-functional architecture of layered multi-set modulation. In this study, a generalized framework that subsumes various MIMO techniques exhibiting different multiplexing and diversity functionalities, has been proposed by the authors.

Our Special Section has attracted the attention of the researchers working on OFDM-IM, which is a promising

alternative to the classical OFDM, and we have accepted 10 articles in this frontier. Considering the adoption of OFDM for both uplink and downlink of the 5G New Radio in 2017, research studies in the field of OFDM-IM have gained a remarkable momentum in the past year. Li *et al.* (Information Guided Precoding for OFDM) proposed a novel information guided precoding technique, called precoding aided OFDM-IM, to improve the spectral efficiency of OFDM-IM. Hu *et al.* (Low-Complexity Subcarrier-Wise Detection for MIMO-OFDM with Index Modulation) considered MIMO implementation of OFDM-IM and developed an optimal detection algorithm with reduced complexity for MIMO-OFDM-IM along with a low-complexity near-optimal detector based on sequential Monte Carlo technique. Mao *et al.* (Zero-Padded Orthogonal Frequency Division Multiplexing with Index Modulation Using Multiple Constellation Alphabets) proposed the scheme of zero-padded tri-mode OFDM-IM to achieve higher spectral and energy efficiency. In this scheme, only a fraction of the available subcarriers is modulated by two distinguishable constellation alphabets, while the other subcarriers remain empty to reduce the energy consumption. Inspired by the advantages of vector OFDM, Liu *et al.* (Vector OFDM with Index Modulation) introduced an enhanced OFDM-IM scheme termed vector OFDM with IM not only to improve the BER performance of OFDM-IM but also to reduce the PAPR of the transmit signals. Zhang *et al.* (Dual-Mode Index Modulation Aided OFDM with Constellation Power Allocation and Low-Complexity Detector Design) proposed a new dual-mode IM-aided OFDM-IM scheme by considering constellation power allocation and focused on the transceiver design and the performance optimization of dual-mode OFDM. Based on the concept of multiple-mode OFDM-IM, Li *et al.* (Space-Time Multiple-Mode Orthogonal Frequency Division Multiplexing with Index Modulation) proposed the scheme of space-time multiple-mode OFDM-IM to further obtain a transmit diversity gain. Lee *et al.* (Secure Index and Data Symbol Modulation for OFDM-IM) proposed a secure index and data symbol modulation scheme for OFDM-IM systems by exploiting randomized mapping rules for IM as well as data symbol modulation to degrade the error performance of the eavesdropper. Bouhlef *et al.* (Performance of OFDM-IM Under Joint Hardware Impairments and Channel Estimation Errors Over Correlated Fading Channels) investigated the theoretical error performance of OFDM-IM in the presence of imperfect channel estimation and hardware impairments over correlated Rayleigh and Rician fading channels. Using software defined radio technology, practical implementation of OFDM-IM along with other IM-based waveforms has been investigated for the first time in the literature by Gokceli *et al.* (Practical Implementation of Index Modulation-Based Waveforms) and promising results are reported regarding the real-time potential of IM-based waveforms. Finally, Liu *et al.* (Enhanced Coordinate Interleaved OFDM with Index Modulation) proposed the scheme of enhanced coordinate interleaved OFDM-IM to

improve the error performance of the plain coordinate interleaved OFDM-IM scheme through an additional diversity gain.

Our Special Section also published 6 articles on the most recent SM technologies. Cheng *et al.* (On Simultaneous Wireless Information and Power Transfer for Receive Spatial Modulation) studied the performance of receive spatial modulation combined with simultaneous wireless information and power transfer. Rajashekar *et al.* (Transmit Antenna Subset Selection in Spatial Modulation Relying on a Realistic Error-Infested Feedback Channel) shed light on the performance of SM employing Euclidean distance-based antenna selection in the presence of a realistic error-infested feedback channel. Hai *et al.* (Complex Hadamard Matrix-Aided Generalized Space Shift Keying Modulation) presented a complex Hadamard matrix-aided generalized space shift keying modulation scheme by introducing complex-Hadamard-based signal vectors at the transmitter to improve the spectrum efficiency of generalized space shift keying. Zheng (Hybrid Spatial Modulation Aided Distributed Relays: Threshold Detection and Constellation Rotation) studied hybrid SM-aided virtual MIMO one-way and two-way relaying architectures with multiple distributed single-antenna relay nodes and proposed a two-stage relay detector for one-way relaying. Hiari *et al.* (A Reconfigurable SDR Transmitter Platform Architecture for Space Modulation MIMO Techniques) proposed a single software defined radio platform architecture that implements different space modulation techniques using currently available off-the-shelf components and studied the impact of different hardware components. Finally, Qu *et al.* (Generalized Spatial Modulation with Transmit Antenna Grouping for Massive MIMO) proposed a new generalized spatial modulation scheme called grouping GSM to improve the system performance in the presence of high channel correlation for massive MIMO systems.

As mentioned in the opening article of this Special Section, IM-based solutions have spread into totally new communication systems in the past 1-2 years. Within this perspective, 4 articles that deal with interesting IM-aided solutions, are accepted. Nakao *et al.* (Dual-Mode Time-Domain Index Modulation for Nyquist-Criterion and Faster-Than-Nyquist Single-Carrier Transmissions) proposed the scheme of dual-mode time-domain single-carrier IM in which the combination of two constellation modes carries information bits in addition to ordinary modulation symbols. The authors also extended this scheme for the scenario of faster-than-Nyquist signaling to further increase bandwidth efficiency. Ozturk *et al.* (Generalized Frequency Division Multiplexing with Flexible Index Modulation) presented a framework, which integrates GFDM with space and frequency IM schemes to provide flexible and advanced novel radio access technologies for future wireless networks, considering the advantages of alternative waveforms and the IM concept. In this study, several generalized frequency division multiplexing-based waveforms are presented and their bit error ratio performances, computational complexities,

and spectral efficiencies are analyzed. In their article, Shamasundar *et al.* (Multidimensional Index Modulation in Wireless Communications) proposed the promising concept of multidimensional IM in which multiple transmission entities, such as antennas, time slots, and RF mirrors, are indexed simultaneously. Considering the inherent sparsity of multidimensional IM-based systems, signal detection schemes that use compressive sensing-based reconstruction algorithms were proposed by the authors. Finally, inspired by OFDM-IM, Hamamreh *et al.* (OFDM-Subcarrier Index Selection for Enhancing Security and Reliability of 5G URLLC Services) proposed an efficient physical layer security technique, called OFDM with subcarrier index selection, to secure the transmission of OFDM-based waveforms against eavesdropping in 5G and beyond wireless networks. In this technique, one and two security levels are provided in frequency division duplexing and time division duplexing modes, respectively.

The Guest Editorial Team would like to thank the Managing Editor of IEEE Access, Dr. Bora Onat, for his great support in this project. We also acknowledge the great efforts and timely reports of our anonymous reviewers, whose voluntary contributions have played a vital role in the success of this Special Section. Finally, we would like to thank the IEEE ACCESS Editorial Team (Rebacca Hytowitz, Kim Shumard, and Margery Meyer) for their hard work and timely updates, which are essential for the organization of this Special Section.

#### **ERTUGRUL BASAR**

*Faculty of Electrical and Electronics Engineering  
Istanbul Technical University  
34469 Istanbul, Turkey*



**ERTUGRUL BASAR** (S'09–M'13–SM'16) received the B.S. degree (Hons.) from Istanbul University, Turkey, in 2007, and the M.S. and Ph.D. degrees from Istanbul Technical University, in 2009 and 2013, respectively. From 2011 to 2012, he was with the Department of Electrical Engineering, Princeton University, Princeton, NJ, USA, as a Visiting Research Collaborator. He was an Assistant Professor with Istanbul Technical University from 2014 to 2017, where he is currently an Associate Professor of electronics and communication engineering. He is an Inventor of three pending patents on index modulation schemes. His primary research interests include MIMO systems, index modulation, cooperative communications, OFDM, and visible light communications.

He was a recipient of the Young Scientists Award of the Science Academy, Turkey, in 2018, Turkish Academy of Sciences Outstanding Young Scientist Award in 2017, the first-ever IEEE Turkey Research Encouragement Award in 2017, and the Istanbul Technical University Best Ph.D. Thesis Award in 2014. He was also a recipient of four Best Paper Awards, including one from the IEEE International Conference on Communications 2016. He has served as a TPC member for several IEEE conferences and is a regular reviewer for various IEEE journals. He currently serves as an Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS and *Physical Communication* (Elsevier), and as an Associate Editor of the IEEE COMMUNICATIONS LETTERS and the IEEE ACCESS.

#### **MIAOWEN WEN**

*School of Electronic and Information Engineering  
South China University of Technology  
Guangzhou 510640, China*

#### **RAED MESLEH**

*Electrical and Communication Engineering Department  
School of Electrical Engineering  
and Information Technology  
German Jordanian University  
Amman 11180, Jordan*

#### **MARCO DI RENZO**

*Laboratoire des Signaux et Systèmes, CNRS  
CentraleSupélec, Université Paris-Sud  
Université Paris-Saclay  
91192 Gif-sur-Yvette, France*

#### **YUE XIAO**

*National Key Laboratory of Science and  
Technology on Communications  
University of Electronic Science and Technology of China  
Chengdu 611731, China*

#### **HARALD HAAS**

*LiFi Research and Development Centre  
Institute for Digital Communications  
The University of Edinburgh  
Edinburgh EH9 3JL, U.K.*



**MIAOWEN WEN** (M'14) received the B.S. degree from Beijing Jiaotong University, Beijing, China, in 2009, and the Ph.D. degree from Peking University, Beijing, China, in 2014. From 2012 to 2013, he was a Visiting Student Research Collaborator with Princeton University, Princeton, NJ, USA. He is currently an Associate Professor with the South China University of Technology, Guangzhou, China. He has authored a book and over 80 papers in refereed journals and conference proceedings. His research interests include index modulation, non-orthogonal multiple access, physical layer security, and molecular communications.

Dr. Wen was a recipient of the Excellent Doctoral Dissertation Award from Peking University and the Best Paper Awards from the IEEE International Conference on Intelligent Transportation Systems Telecommunications in 2012, the IEEE International Conference on Intelligent Transportation Systems in 2014, and the IEEE International Conference on Computing, Networking and Communications in 2016. He was an Exemplary Reviewer for the IEEE COMMUNICATIONS LETTERS in 2017. He currently serves as an Associate Editor of the IEEE

ACCESS, and on the Editorial Board of the *EURASIP Journal on Wireless Communications and Networking*, the *ETRI Journal*, and *Physical Communication* (Elsevier).



**RAED MESLEH** (S'00–M'08–SM'13) received the Ph.D. degree from Jacobs University, Bremen, Germany, in 2007. He is currently the Vice Dean of the School of Electrical Engineering and Information Technology, German Jordanian University, Amman, Jordan. From 2007 to 2010, he was a Post-Doctoral Fellow with Jacobs University. He was with the Electrical Engineering Department, University of Tabuk, Saudi Arabia, where he is Department Chair and the Director of research excellence and intellectual property units at the deanship of scientific research from 2010 to 2015. He was a Visiting Scholar with Boston University, The University of Edinburgh, and Herriot-Watt University.

He is an inventor and co-inventor of eight patents, and authored or co-authored over 150 journal and conference papers. His main research interests are in wireless communication and optical wireless communication, with a particular focus on MIMO techniques, mmWave communication FSO, and VLC. He received the Arab Scientific Creativity Award from the Arab Thought Foundations in 2016.



**MARCO DI RENZO** (S'05–AM'07–M'09–SM'14) received the Laurea (*cum laude*) and the Ph.D. degrees in electrical engineering from the University of L'Aquila, Italy, in 2003 and in 2007, respectively, and the Doctor of Science degree (HDR) from the University Paris-Sud, France, in 2013. Since 2010, he has been a CNRS Associate Professor (Charge de Recherche Titulaire CNRS) with the Laboratory of Signals and Systems, Paris-Saclay University—CNRS, CentraleSupélec, Université Paris Sud, Paris, France. He is an Adjunct Professor with the University of Technology Sydney, Australia, a Visiting Professor with the University of L'Aquila, Italy, and a Co-Founder of the university spin-off company WEST Aquila s.r.l., Italy. He was a recipient of several awards, including the 2013 IEEE-COMSOC Best Young Researcher Award for Europe, Middle East and Africa Region, the 2014-2015 Royal Academy of Engineering Distinguished Visiting Fellowship, the 2015 IEEE Jack Neubauer Memorial Best System Paper Award, and the 2015-2018 CNRS Award for Excellence in Research and in Advising Doctoral Students. He serves as the Associate Editor-in-Chief of the IEEE COMMUNICATIONS LETTERS,

and as an Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS and IEEE TRANSACTIONS ON WIRELESS COMMUNICATIONS. He is a Distinguished Lecturer of the IEEE Vehicular Technology Society and the IEEE Communications Society.





**YUE XIAO** (M'04) received the Ph.D. degree in communication and information systems from the University of Electronic Science and Technology of China, Chengdu, China, in 2007. He is currently a Professor with the University of Electronic Science and Technology of China. He has authored or co-authored over 100 international journal papers and been involved in over 10 projects in the Chinese Beyond 3G/4G Communication Research & Development Program. His research interests are in the areas of wireless and mobile communications. He is currently an Associate Editor of the IEEE COMMUNICATIONS LETTERS.



**HARALD HAAS** (S'98–A'00–M'03) currently holds the Chair of mobile communications and the Director of the LiFi Research and Development Center with The University of Edinburgh, and is a Co-Founder and Chief Scientific Officer of pureLiFi Ltd. He has authored or co-authored 400 conference and journal papers, including a paper in Science, his h-index is 54 (Google Scholar). His main research interests are optical wireless communications, hybrid optical wireless and RF communications, spatial modulation, and interference coordination in wireless networks. He first introduced and coined spatial modulation and LiFi. He was an Invited Speaker at TED Global 2011, and his talk on Wireless Data from Every Light Bulb has been watched online over 2.4 million times. He gave a second TED Global Lecture in 2015 on the use of solar cells as LiFi data detectors and energy harvesters, which has been viewed online over 1.6 million times. He is an Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS and the IEEE JOURNAL OF LIGHTWAVE TECHNOLOGIES. He was a co-recipient of recent best paper awards at the IEEE Vehicular Technology Conference (VTC-Fall), Las Vegas, in 2013, and VTC-Spring

in Glasgow in 2015. He was a co-recipient of the EURASIP Best Paper Award for the *Journal on Wireless Communications and Networking* in 2015, and the Jack Neubauer Memorial Award of the IEEE Vehicular Technology Society. In 2014, he was selected by EPSRC as one of ten Recognizing Inspirational Scientists and Engineers (RISE) Leaders in the U.K.

...